ACCOUNT INFORMATION FORM

DATE _____

CU Investment Solutions, Inc.™

This Account Information Form supersedes all prior Account Information Forms. To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity that opens an account with CU Investment Solutions, Inc. When you open an account, we will ask for your name, address, date of birth, telephone number, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. For new members other than individuals, we will ask you to provide certain corporate entity documents. The account holder should notify the firm of any future changes or incorrect information contained on this account form.

Please type or print

Customer Name		Tax ID #	Manager/President
Telephone No.		Facsimile No.	
Mailing Address		City State	Zip Code
Legal Address (if different)		City State	Zip Code
Routing & Transit Number (if applicable)	List Corporate Membership(s)	○ State Charter ● Federal Charter (Check One)	
Settlement, Delivery Instructions Do you safekeep with your Corporate? ● Yes ○ No	**If NO:** Safekeeping Agent: _____ ABA #: _____	DTC #: _____ Special Instructions: _____	

Authorized individual (to execute security order)

Name	Title	Name	Title

Prospectus Email Notification
(ISI will email prospectus to this email address)

I, hereby certify the above named individuals have been duly authorized on behalf of _____Credit Union to execute security orders. These individuals are authorized to give written or oral instructions with respect to any security order.

By: _____
Title Officer

Percentage of total investments

0.00% Corporate CU Deposits	0.00% Collateralized Mortgage Obligations/REMICs	0.00% Asset-Backed Securities
0.00% U.S./Treasuries	0.00% Negotiable CDs	0.00% U.S. Corporation Corporate Bonds
0.00% Federal Agencies	0.00% Mutual Funds	0.00% Municipal Bonds
0.00% Mortgage-Backed Securities	0.00% Bankers Acceptances	0.00% Other

How frequently do you purchase and sell securities?
○ Daily ○ Weekly ○ Monthly ○ Quarterly ○ Annually ○ Other

What is the estimated average size of each transaction?
○ Under $1,000,000 ○ $1 million - $5 million ○ $5 million - $20 million ○ Over $25 million

Investments with maturities/average lives less than 12 months $_____

Investments with maturities/average lives 1-3 years $_____

Investments with maturities/average lives more than 3 years $_____

Total Assets $_____ Total Net Capital $_____

Size of Investment Portfolio $_____ Capital/Assets Ratio: _____%

For ISI use only

Investment/Officer	Rep/Principal	Received
Account Number	Accepted / OFAC Screened	Date Entered